

## Abstract

16 Black ash (Fraxinus nigra Marsh) is an important species for the W8banaki Nation, 17 which uses its wood for traditional basketry. This study aimed to identify the wood properties required for black ash splints used in basketry. Eleven logs were selected and pounded into 26 longitudinal groups of annual wood layers, which were then transformed into splints. A quality class (high, medium, or low) was assigned by W8banakiak knowledge carriers to each group of rings. We measured wood density, ring width, modulus of elasticity (MOE), and modulus of rupture (MOR) on samples located at the same radial position in wood bolts collected adjacently to the logs used for pounding. To investigate which wood properties were best related to the assigned wood quality class, we applied a generalized linear mixed model (GLMM). Our model revealed a significant effect of ring width and average ring density on the probability to obtain a given wood quality class. Narrow to medium-sized rings and relatively dense wood offered the best quality for basketry practice. Based on our results, further research on the effects of growth conditions that favour the production of high-quality black ash wood could be conducted to ultimately propose silvicultural treatments and management strategies.

 **Keywords:** Basketmaking, wood properties, cultural values, Fraxinus nigra, southeastern 33 Québec

## 35 1. Introduction

36 Black ash (*Fraxinus nigra* Marsh.) is a unique species with specific properties that 37 allow its wood to be flexible in longitudinal bending, while also being resistant to rupture 38 (Costanza et al. 2017; Diamond and Emery 2011; Emery et al. 2014). Among other things, 39 wood from this species is used for furniture, pulpwood and other non-timber forest 40 products (Benedict and Frelich 2008). In addition, an important use of black ash is for 41 Indigenous traditional basketry, i.e., the manufacture of baskets woven from splints. This 42 practice is shared by several First Nations of North America, including the W8banaki, 43 Mi'kmaq, Wolastoqey, Passamaquoddy, Penobscot, Kanien'kehá ka. For the W8banaki 44 Nation, black ash is a strong symbol of identity (Costanza et al. 2017; Frey et al. 2019; 45 Neptune et al. 2017). In their creation story, humans were born from the ash tree (Frey et 46 al. 2019).

47 The first step in the basket-making process involves the selection and harvest of 48 black ash trees with a high potential for basketry (Benedict and Frelich 2008; Costanza et 49 al. 2017; Diamond and Emery 2011). Harvested logs are then manually pounded to 50 delaminate annual growth rings into longitudinal wood layers (Frey et al. 2019; Rousseau 51 1950; Siegert et al. 2023). From these, basket makers produce thin splints, which can be 52 woven into baskets of various shapes and functions (Alarcón et al. 2012; Frey et al. 2019; 53 Pelletier 1982). Two conditions support this practice. On the one hand, black ash wood can 54 be transformed into thin and flexible splints thanks to its exceptional flexibility and 55 mechanical resistance, i.e., its ability to bend without breaking (Alarcón et al 2012; 56 Costanza et al. 2017). On the other hand, W8banakiak basket makers, and more precisely 57 ash pounder, detain traditional and technical knowledges, which allows them to select 58 trees, pound the logs and select wood splints suitable for basketry (Berkes 1999; Neptune 59 et al. 2015). However, for this knowledge to be maintained and passed on to future 60 generations, the W8banakiak must maintain their basketry activities, which in turn depends 61 on the sustained availability of healthy and suitable black ash trees (Costanza et al. 2017).

62 In recent years, the W8banakiak, like other basket-making First Nations, have been 63 concerned about black ash and the future of basketry, mainly due to the spread of the 64 emerald ash borer (*Agrilus planipennis*; EAB) (Benedict and Frelich 2008; Costanza et al. 65 2017; Neptune and Neuman 2015; Siegert et al. 2023). The emerald ash borer is an invasive 66 wood-boring beetle that feeds on the phloem of ash trees thus creating galleries that 67 disrupt nutrient and water transport and eventually lead to tree death (Siegert et al. 68 2023).The EAB was first detected in North America in 2002 (Cappaert et al. 2005; Klooster 69 et al. 2018) and has since spread rapidly through the black ash natural distribution range 70 (Cappaert et al. 2005; Herms and McCullough 2014; Siegert et al. 2023).

71 Adding to the EAB issue, which represents an important threat, relatively few black 72 ash trees (approximately 5–20%) are suitable for basketry (Benedict and Frelich, 2008). 73 They can be selected by basket makers and ash pounders, who have learned to identify 74 suitable black ash trees for basket making based on several precise criteria and to 75 transform their wood into splints through a unique pounding process (Benedict and Frelich 76 2008; Costanza et al. 2017; Diamond and Emery 2011; Frey et al. 2019). The fact that only 77 a low proportion of trees are suitable for basketry, and that this population is now under 78 threat, represents an important source of concern for the future of this activity.

79 There is currently a need to engage into research efforts dedicated to the 80 development of adaptation strategies to ensure a sustainable access to suitable black ash 81 material for basketry (Costanza et al. 2017). Although the relationship between annual ring 82 width and wood quality for basketry has been studied by, or in collaboration with, other 83 First Nations whose territories lie south of Ndakina (territory of the W8banaki Nation) 84 (Figure 1) (Costanza et al. 2017; Diamond and Emery 2011; Frey et al. 2019), other wood 85 properties may be involved in determining wood quality by W8banakiak ash pounders. No 86 studies have so far focused on the links between black ash wood properties and wood 87 suitability variation in the context of W8banaki basketry.

88 As part of a collective effort led by the W8banaki Nation to ensure the maintenance 89 of traditional basketry, a collaborative research project conducted by academic and 90 community researchers was set up with the aim to better understand and document the 91 physical and mechanical properties of black ash wood that are associated with its 92 suitability for W8banaki basketry. Documenting the wood properties that W8banakiak 93 basket makers are looking for to produce high-quality basketry material is seen as the 94 starting point of a wider research effort that will support the Nation in its adaptation 95 process.

96 To fulfill this objective, we (1) documented W8banaki knowledge and techniques 97 regarding black ash selection and transformation related to basketry, (2) related this 98 knowledge to wood anatomical characteristics and mechanical properties, and (3) 99 identified a range of wood anatomical characteristics and mechanical properties 100 considered highly suitable for W8banaki basketry. Those objectives were based on three 101 assumptions that resulted from discussion and reflection with W8banaki knowledge 102 carriers: 1) the conjunction of Indigenous knowledge (Berkes 1999) and wood science 103 knowledge will deepen our understanding of black ash wood characteristics; 2) the 104 suitability of black ash wood for W8banaki basketry is influenced by its physical and 105 mechanical properties; and 3) obtaining a better understanding of the relationship 106 between wood properties and suitability for basketry will allow the identification of 107 relevant research efforts and actions regarding black ash and basketry in the future. 108 Throughout the study, we made sure that the objectives, methods, and research processes 109 were in accordance with the Nation protocols, needs and expectations.

## 110 2. Methods

## 111 2.1 The W8banaki Nation

112 The W8banaki Nation is a southern Algonquin First Nation of the Québec province. 113 The W8banakiak are represented by about 3000 members living both inside and outside 114 of the two communities of Odanak and W8linak (Treyvaud et al. 2018). There are two band 115 councils (Odanak and W8linak) responsible for political matters, daycare services, public 116 safety, etc. The W8banaki is the Tribal Council regrouping the W8banaki bands of Odanak 117 and W8linak. The Ndakina office is a department of the W8banaki and is responsible for

118 territorial consultations, land claims, as well as environmental and climate change 119 adaptation. The Ndakina office initiated this project based on members' concerns and 120 needs and ensured its alignment with the priorities of the Nation.

## 121 2.2 General approach

122 This study was conducted in partnership with the Ndakina office in accordance with 123 a signed research agreement. Three of us (Michel Durant-Nolett, Luc Gauthier-Nolett, and 124 Danny Gill) are ash pounders with specific knowledge related to the W8banaki Nation, 125 including their context and territory, although some elements might be shared with other 126 First Nations. This knowledge encompasses practices and beliefs that are adaptive and 127 based on the relationship between living beings and the environment (Berkes 1999; Rist 128 and Dahdouh-Guebas 2006; Tengö et al. 2014). It is dynamic and transmitted from one 129 generation to another. More precisely, ash pounders have extensive personal experience 130 in harvesting and working with black ash wood for basketry. Within the context of the 131 W8banaki Nation, tree selection and wood pounding are recognized as specific abilities, 132 and people who practice these steps are called ash pounders. Weaving and basket making 133 involve individuals with a different set of knowledge known as basket makers. They can 134 also be referred to more broadly as knowledge carriers (Trosper et al. 2012).

135 The importance of Indigenous knowledge and the use of an interdisciplinary 136 approach is now increasingly valued and recognized to respond to the complexity of 137 environmental issues (Kimmerer 2002; McGreavy et al. 2021; Tengö et al. 2014). For this 138 study, we adopted a participatory action research approach (Weber-Pillwax 2009), which 139 provides a space for knowledge systems and people to interact (Kimmerer 2002; Tengö et 140 al. 2014) over an extended period to address specific problems (Smith 2021; Huntington 141 2000). This approach allowed us to work on a common subject, namely black ash, while 142 respecting each knowledge system (scientific and Indigenous) and considering them on 143 equal footing (Asselin 2015). The research was approved by the ethics committee for 144 research involving humans at Laval University.

#### 145 2.3 Study area

146 The study area is the Quebec part of Ndakina, the ancestral land of the W8banaki 147 Nation. Ndakina expands from the Richelieu to the Etchemins rivers from west to east and 148 from the south shore of the St-Lawrence River to the USA border from north to south 149 (Figure 1). Ndakina is located within the natural distribution range of black ash, which 150 expands from northern Ontario to Virginia from North to South and from Newfoundland 151 to Manitoba from East to West. Around 51% of the natural range of black ash is located in 152 Canada and the occurrence of the species is more common in the centre of its natural 153 distribution range (COSEPAC 2018). Ndakina overlaps four bioclimatic domains in Quebec, 154 i.e., sugar maple (*Acer saccharum*)-butternut hickory (*Carya ovata*), sugar maple-American 155 basswood (*Tilia americana*), sugar maple-yellow birch (*Betula alleghaniensis*), and balsam 156 fir (*Abies balsamea*)-yellow birch bioclimatic domains (Saucier et al, 2009).

157 Black ash trees were sampled in two sites located in Ndakina. The first was located 158 on the Seigneurie de Lotbinière (46° 32' 00", 71° 51' 00"). The average altitude is 81 m, the 159 mean temperature is 5°C and the mean precipitation is 1143 mm. It is part of a public 160 forest estate, that has been used by the W8banakiak as a supply area for black ash since 161 1990. The second site is on Domtar Corporation's private lands (45° 21 '30 ", 71 °17' 15"). 162 The average altitude is 417 m, the mean temperature is 4.3°C and the mean precipitation 163 is 1160 mm. This site was identified prior to sampling using Domtar's forest resource 164 inventory data.

## 165 2.4 Tree and log sampling

166 In the summer of 2020, four and seven trees were harvested in the Seigneurie de 167 Lotbinière and Domtar private lands, respectively. Trees were selected based on guidelines 168 from knowledge carriers, which allow them to target standing trees likely suitable for the 169 pounding stage. The guidelines are based on several criteria, including general tree vigour, 170 diameter at breast height (DBH), stem straightness, stem vigour, absence of defects (e.g., 171 dead branches, cracks), and absence of adventitious buds. Bark colour and texture also 172 help knowledge carriers in tree selection, as a thick and spongy bark can be indicative of 173 the suitability of black ash stems for basketry (Costanza et al. 2017, Luc Gauthier-Nolett 174 and Michel Durand-Nolett, pers. observation. July 2020). The best logs (i.e., straight and 175 without defects) that had been selected from the standing trees could then be processed 176 into wood splints at the pounding stage. At each site, some black ash trees with good 177 basketry potential were also deliberately left standing to ensure a supply for future 178 generations.

179 For each of the 11 harvested trees, W8banakiak knowledge carriers selected one 180 to three logs of 2.0 to 2.5m in length (Figure 2A). A total of 23 logs were sampled with 181 large end diameters ranging from 20 to 28 cm. At both ends of each log, 30-cm bolts 182 were sampled and used for mechanical and physical tests (Figure 2B). Logs were stored in 183 Odanak and the bolts were transported to Laval University's Renewable Material Research 184 Centre (CRMR)

#### 185 2.5 Pounding process and wood splint sampling

186 After harvesting trees and selecting logs, we proceeded to the pounding stage 187 which led to the extraction of wood splints (Figure 3). During the pounding stage, 188 knowledge carriers made an evaluation of the suitability of each pounding layer for 189 basketry. This evaluation was made according to two main criteria: 1) annual rings can 190 easily be peeled from the log, 2) the annual rings that are delaminated from the logs are 191 flexible and rupture resistant. Although we had previously selected logs with a high 192 potential for pounding, this did not completely ensure that ash pounders could extract 193 only high quality material from them (Costanza et al. 2017, Luc Gauther-Nolett and Danny 194 Gill, pers. observation. October 2020). In fact, not all black ash annual rings could be 195 transformed into thin and flexible splints because wood anatomical and mechanical 196 properties vary within the stem, from pith to bark and from the stump to the apex of the 197 stem (Luc Gauthier-Nolett and Danny Gill, pers. observation. October 2020). During the 198 pounding stage, knowledge carriers attributed a quality grade to the black ash pounding 199 layers that were delaminated (low, medium, or high). This evaluation process considered

200 the smoothness of the splints, their colour, and the ease of peeling off the splints from the 201 log, among others, which were considered in a holistic evaluation (Asselin 2015).

202 To pound a log and process the wood into thin and flexible splints, the knowledge 203 carrier placed the log horizontally supported by smaller logs placed at both ends, so that 204 the log laid a few centimeters above the ground. The knowledge carrier repeatedly 205 pounded the log with the back of an axe from one end of the log to the other (Figure 3A). 206 If two pounders work on the same log such as in the W8banaki way of pounding black 207 ash, they position themselves on the same side of the log and alternate their pounding 208 motions in a rhythm.

209 Black ash being a ring-porous hardwood, earlywood contains large vessels that are 210 crushed during the pounding stage. In contrast, the latewood is denser and characterized 211 by smaller vessels, which resist the pounding. As a result, the pounding causes the 212 delamination of annual wood layers that are mainly composed of latewood, since the 213 earlywood has been crushed. These annual wood layers generally come off the log in a 214 group of five (but this may range from three to 15). This group of wood layers is called a 215 pounding layer (Figure 3B). The annual wood layers contained in the pounding layer are 216 generally too thick to be woven. They are then processed with a splinter tool that allows 217 the knowledge carrier to split them into thinner splints. Lastly, individual splints are 218 polished, refined, and rolled up to be stored in anticipation of being used for weaving 219 (Figure 3C).

220 Our study focused on the pounding stage, during which 27 pounding layers were 221 delaminated from 10 logs and attributed a quality grade. Quality grades were categorized 222 as high, medium, and low quality. This qualitative assessment was based on the general 223 suitability of wood layers contained in one pounding layer for basketry and its general 224 appreciation by log pounders. Splints of different qualities can be used for different types 225 of baskets (Costanza et al. 2017). To be assigned a high-quality grade, the splints contained 226 in a pounding layer had to be easily delaminated from the log and easily divided into 227 flexible but rupture-resistant thinner splints. Such splints are few in number and can be 228 used for the production of fancy baskets, especially if they are extracted in the sapwood 229 area of the log and white coloured (Alarcón et al. 2012). Pounding layers assigned a 230 medium-quality grade are generally used for frames or utility baskets since the splints are 231 usually thicker, less easy to work with, and darker-coloured. Those assigned a low-quality 232 grade are considered unsuitable for basketry as they often break at the pounding stage 233 and are hard to divide into splints. As the quality assessment was done at the pounding 234 layer level, the pounding layers were used as the sampling unit for the analyses. The 235 pounding stage took place from October to November 2020. The remaining material was 236 stored for the future needs of the Nation for basketry.

#### 237 2.6 Physical wood properties

238 Densitometry analyses were used to produce profiles of annual ring width, density 239 and proportion of latewood and earlywood, which may all influence the flexibility-related 240 properties (Alteyrac et al. 2006) that are key for W8banaki basketry. From each bolt 241 extracted from the ends of the pounded logs, a radial pith-to-bark segment was cut (Figure 242 2B) and air dried in a conditioning chamber maintained at 65 % relative humidity and at 243 20°C until they reached ~12 % moisture content. From these segments, radial pith-to-bark 244 strips (1.6 mm thickness, 25 mm width) were extracted for densitometry analyses (Figure 245 2B). Wood density profiles were obtained by scanning radial strips with a QMS Tree ring 246 analyzer densitometer (QTRS-01X) with a resolution of 20 µm. During this process, an X-247 ray beam passes longitudinally through the strip while the sample is progressively moved 248 along its radial direction (Quintek Measurement Systems Inc., Knoxville, TN, USA). Density 249 profiles were obtained using the image analysis software QMS Tree Ring System (QTRS, 250 version 2.03). Densitometry measurements allowed us to obtain the density ( $kg/m<sup>3</sup>$ ) and 251 width (mm) of each annual ring. Annual rings were visually delaminated following the 252 method developed by Mothe et al. (1998) which is to compute a floating threshold based 253 on the minimal and maximal densities in the ring. This allowed us to obtain width of 254 earlywood and latewood within each ring. We then averaged these variables for each 255 pounding layer previously prepared by Danny Gill. So, for each pounding layer, we had the

256 average earlywood and latewood widths, the average ring width, and average density of 257 all the rings it contained.

## 258 2.7 Mechanical wood properties

259 For the static bending tests, we used small clear specimens of  $10 \times 10 \times 160$  mm in 260 the radial, tangential, and longitudinal directions, respectively (Figure 2B). Samples were 261 prepared following the methodology described in Torquato (2014) and Waldron et al. 262 (2020). From each bolt extracted from the ends of the logs, a pith-to-bark slab was sawn 263 and air-dried in the same conditioning chamber as the wood strips until they reached a 264 constant mass indicating that the samples had reached  $\sim$  12 % moisture content. The slabs 265 were then reduced to a 10 mm thickness using a planer. We then drew successive 10-mm-266 wide and 160-mm-long specimens directly on the slab. Small, clear wood specimens were 267 extracted from the bark to the pith, aligned parallel to the grain and spaced 3 mm apart 268 to accommodate the thickness of the saw cut, while avoiding defects such as knots (Figure 269 2B). By doing so, we ensured consistency with the pounding technique, which also 270 delaminates annual rings from bark to pith. We counted and identified the number of 271 annual rings to position small clear specimens in the log, allowing us to associate the 272 mechanical properties with the characteristics of each pounding layer extracted by the 273 W8banaki knowledge carriers.

274 Mechanical properties were measured in longitudinal static bending using an 275 adaptation of the ASMT D-143 standard for smaller specimens. First, the depth, width, and 276 length of the specimens (mm) were measured using calipers. Upon testing, specimens 277 were placed pith upwards in the horizontal position for a three-point longitudinal static 278 bending test with a span of 140 mm. An increasing load was then applied on the samples 279 at a speed of 2 mm/min while the resistance force was measured by a 5kN load cell. The 280 bending test was performed below the elastic limit and until the rupture of the specimen. 281 The modulus of elasticity (MOE) and the modulus of rupture (MOR) were then measured 282 from pith to bark with a variable number of observations that depended on the log radius.

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#### 283 2.8 Statistical analyses

284 To investigate which wood properties were related to the wood quality 285 assessments made by W8banaki knowledge carriers, we applied a generalized linear mixed 286 model (GLMM) in the form of a mixed ordinal regression model with a cumulative logit 287 link function. This process allowed us to work with fixed and random effects and assess 288 which variables showed the best performance in predicting wood quality classes. The 289 regression was computed with the procedure GLIMMIX of SAS software, version 9.4.

290 The response variable corresponding to the quality class was assessed by the 291 knowledge carriers on the pounding layer. The quality was coded as an ordered factor with 292 levels high, medium, and low corresponding to 1, 2, and 3, respectively. Four variables 293 were selected as candidate predictors: ring width, ring average density, MOE, and MOR. 294 The predictors were measured at different scales (ring width and density measurements 295 were made at the ring level, while MOE and MOR were measured on small clear specimens 296 consisting of several annual rings). Because quality classes corresponded to pounding 297 layers, all variables were averaged at this scale. We also added a tree-level random 298 intercept, as there was more than one pounding layer per tree. The final dataset consisted 299 of 27 pounding layers originating from 10 different logs.

300 Prior to developing the models, correlations among variables were checked using 301 Pearson's correlation coefficients (Figure 4) as well as variance inflation factor (VIF) indices 302 (Zuur et al. 2010). Correlation coefficients between variables were below 0.6 in all cases 303 and VIF indices below 5, which indicated that they could all be included as candidate 304 variables in the same model. Correlation and multicollinerarity indices were computed in 305 the R environment (R Core Team 2019).

306 Throughout the analysis, the statistical significance threshold was set at  $p < 0.05$ . 307 Two variables were found to be statistically significant: ring width and density 308 measurements. Predicted values were generated for the three quality classes based on a 309 model that included these significant variables. These values were calculated for regular 310 coordinates of the fishnet within the convex hull of our observed dataset. To produce 311 predicted values of the probability of side effects for each observation, the OUTPUT 312 statement was used in PROC GLIMMIX. Using these predicted values, we were able to 313 create a map computed in the R environment, allowing us to better visualize the results (R 314 Core Team 2019).

315 3. Results

316 3.1 Variables influencing black ash wood quality for W8banaki 317 basketry

318 We built a generalized linear mixed model using four candidate variables to predict the 319 quality classification of 27 pounding layers that have been processed and assessed by 320 W8bankiak ash pounders. Results showed a significant effect of both ring width and ring 321 average density on wood quality with p-values of 0.007 and 0.0474, respectively (Table 1). 322 The effects of MOE and MOR were not statistically significant (p > 0.05). As revealed in the 323 multicollinearity analyses ran prior to model development, there was a positive correlation 324 between our two predictor variables. This correlation can be seen in our observed data as 325 a gradient ranging from thin, medium-density rings to wide, denser rings (Figure 5). High-326 quality pounding layers were clustered around the centre of the point cloud, characterized 327 by narrow to medium-sized rings of relatively high wood density (Figure 5).

328 Within the observed range of ring width and density, we used the model predictions 329 to map the probability of pounding layers to be classified as either high, medium or low 330 quality for basketry (Figure 6). There was a high probability for the pounding layers to be 331 classified as of high quality when they were composed of thin to medium sized rings and 332 high density, as indicated by the dark blue areas in Figure 6A. In contrast, the probability 333 to obtain a low-quality pounding layer was high when rings were wide and dense, or 334 narrow and of low density (Figure 6C). The predicted probability of being classified as of 335 medium quality was more diffuse and generally low (Figure 6B). The maximum probability

336 of having a pounding layer of medium quality reached only 50% (Figure 6B), whereas those 337 of high and low quality reached the maximum (Figure 6A, C).

## 338 4. Discussion

## 339 4.1 Relationship between wood properties and wood quality

340 By combining W8banaki knowledge and wood science, we identified the wood 341 properties that influenced wood quality for basketry. While previous studies reported that 342 ring width is an important determinant of wood quality for basketry (Benedict and Frelich 343 2008; Costanza et al. 2017), our results suggest that wood density also plays a key role.

344 Within the gradient of ring width and density that we observed in our black ash 345 samples, we identified upper part of the distribution of ring width and density, two 346 variables that were observed to be positively correlated in our samples, as offering the 347 highest probabilities for being highly suitable for basketry. Ring width and density are 348 closely related to wood flexibility (the opposite of stiffness) and resistance to rupture 349 (Green et al. 1999, Garrat 1931), two properties that must be balanced in black ash splints 350 for basketry. Indeed, splints need to be flexible enough to be bent, but resistant enough 351 not to break during the splinting and weaving process (Danny Gill and Luc Gauthier-Nolett, 352 pers. observation. June 2020). Accordingly, rings of narrow to medium width and relatively 353 high density seemed to offer the best balance between flexibility and resistance to rupture. 354 In contrast, the lower part of the distribution, i.e., large rings or narrower rings of low 355 density, were generally associated with low-quality pounding layers. The fact that no part 356 of the distribution was clearly associated with the medium quality grade likely arises from 357 the sparse occurrences of pounding layers classified as medium quality by the knowledge 358 carriers. In general, our results are in accordance with previous reports of narrow and wide 359 ring widths being of lower quality for basketry use (Benedict and Frelich 2008; Costanza et 360 al. 2017), although in our study narrow rings of high density were generally considered 361 suitable.

362 Our study added a component to the initial observation whereby density also 363 appears to influence wood suitability for basketry.

364 The relationship between ring width and density is directly related to the anatomy 365 of black ash wood. Ring-porous hardwoods, such as black ash, are characterized by the 366 succession of earlywood and latewood that are of contrasting width and density. 367 Earlywood is produced before leaf development (Hacke and Sperry 2001; Takahashi et al. 368 2013) and is characterized by large vessels with thin cell walls that allow high hydraulic 369 conductivity (Zhu et al. 2021). Latewood is denser than earlywood because of its smaller 370 vessels and thick-walled fibre material, which provides mechanical strength to the material 371 (Rao et al. 1997). Whereas black ash earlywood width is relatively constant from year to 372 year, latewood width varies much more, given that it is more sensitive to environmental 373 conditions of the growing season (Tardif 1996; Tardif and Bergeron 1997). Therefore, wide 374 rings usually have a higher latewood content – and a higher density – whereas narrow 375 rings generally have a lower latewood content – and a lower density. Such relationship 376 leads to an increased stiffness in wide rings and a lower mechanical strength and resistance 377 in narrow rings. This positive correlation between ring width and wood density is specific 378 to porous hardwood species (Panshin and De Zeeuw 1980).

379 Within this relationship, there remains variation in density for a given ring width, 380 which is likely associated with the differences in quality, as suggested by the fact that 381 quality was best predicted by both ring width and density. While annual ring density is 382 generally related to the width of the ring, it can also be affected by changes in earlywood 383 and latewood density as well as by slight changes in earlywood width, although the latter 384 is much more constant than the width of latewood (Tardif 1996; Zhu et al. 2021). Due to 385 such variations, two annual rings of a similar width may have different densities. A narrow 386 ring (~0.9 cm) may be of high quality if its density is high (~600 kg/m<sup>3</sup>), but of low quality 387 if its density is low  $({\sim}450 \text{ kg/m}^3)$ . Similarly, medium sized rings  $({\sim}2.0 \text{ cm})$  with a higher 388 density ( $\sim$  650 kg/m<sup>3</sup>) showed an increased suitability for basketry compared to those with 389 a lower density ( $\sim$  500 kg/m<sup>3</sup>). Among wider rings ( $\sim$  3.0 cm), the reduced range of variation 390 of wood led to all rings generally being of low suitability of basketry. Therefore, when

391 pounding layers in which rings have reached a certain width, it is possible to notice that 392 the probability of high-quality rings is consistently low (Figure 6). Wide rings with higher 393 density usually have a higher latewood proportion, which may result in splints that are not 394 flexible enough for basketry use. In addition to variations in the thickness of each section 395 (Figure 7), variations in the density of the latewood section itself may also have similar 396 effects.

397 Beyond these general trends, we observed some mismatches between our model 398 results and the classification made by the knowledge carriers. Indeed, some samples that 399 were in the middle of the ring width and density ranges would have been attributed a high 400 or medium quality by our model, although they were classified as low quality by the 401 knowledge carrier. This suggests that other factors not captured by our analysis may be 402 involved in influencing wood quality for basketry. Other variables, such as the proportions 403 of the different cell types in the wood, the cell-wall content and the lumen dimensions are 404 known drivers of the density and strength properties of wood (Lachenbruch et al. 405 2011; Rao et al. 1997). In addition, the presence of defects such as inserted pin knots could 406 also have affected the quality of the pounding layers.

407 Surprisingly, MOE and MOR were not identified as important drivers of suitability 408 for basketry despite both properties being direct indicators of wood mechanical strength 409 and stiffness (Fischer et al. 2016; Schlotzhauer et al. 2017). Ash pounders are looking for 410 wood splints that can resist rupture and that are not too stiff, so they can be bent and 411 weaved without problems. A strong yet flexible wood piece would have a high MOR and 412 low MOE, and these two properties are influenced by the microfibril angle in the S2 layer 413 of the cell wall and by wood density (Alteyrac et al. 2006; Auty and Achim 2008). Once the 414 pounders have selected the tree, transformed it, and assessed its suitability for basketry, 415 the resulting splints are sent to women who will weave it into baskets. We could speculate 416 that MOE and MOR are properties that primarily affect the weaving stage, which was not 417 assessed in this study. This may explain why MOE and MOR did not appear as key variables 418 for the pounder, contrarily to wood density and ring width. To obtain a better 419 understanding of the effect of MOE and MOR on wood quality for basketry, more in-depth 420 studies should be carried out among women basket weavers.

## 421 4.2 Factors affecting black ash wood properties at different scales

422 As we identified rings of narrow to medium width and high density as having the 423 highest potential for basketry, our results suggest that factors leading to excessively fast 424 growth rates are likely to be detrimental for wood suitability for basketry in our study 425 region. Because wood properties vary both within and among trees, multiple factors may 426 be involved in determining wood quality for basketry. Among trees, growth conditions 427 including site characteristics and regional climate are known to influence tree growth and 428 wood properties (Auty et al. 2013; Genet et al. 2013). Basket makers and ash pounders of 429 several First Nations (Mi'kmaq, Wolastoqey, Passamaquoddy, Penobscot, Kanien'kehá ka) 430 have been reported to avoid harvesting black ash trees growing in permanent standing 431 water (Benedict and Frelich 2008; Costanza et al. 2017; Diamond and Emery 2011), a 432 practice also shared by the W8banakiak involved in the present study. Prolonged flooding 433 can have a negative effect on tree growth (Tardif and Bergeron 1997), resulting in the 434 formation of thin and porous rings that are associated with poor wood quality for basketry 435 (Costanza et al. 2017). The regional climate also influences tree growth and may lead to 436 differences in the overall properties of the available resource. In turn, these may become 437 prime determinants of the properties locally selected for basketry use. For example, black 438 ash rings of 2-3 mm were previously reported to be preferred for Kanien'kehá ka basketry 439 in the New York State, where narrow (<1mm) and wide (>5mm) rings were considered of 440 lesser quality (Benedict and Frelich 2008). Although a similar pattern applies to the present 441 study, the preferred ranges of ring width differ substantially with much larger rings being 442 preferred in the New England States. Whereas rings of 2-3 mm are representative of an 443 average growth in Maine and neighboring states (Benedict and Frelich 2008), our results 444 show that they would be among the largest rings in Ndakina. The differences between the 445 widths preferred in the two regions are thus likely to be explained by regional climates, 446 with the milder climate of Maine and longer growing season allowing generally faster black

447 ash growth. It is conceivable that differences in pounding techniques among the Nations 448 is also driven by the properties of the locally-available resource.

449 Within trees, annual time series of ring properties are driven by cambial age but 450 may also be affected by climatic conditions and the occurrence of environmental stressors. 451 As ring-porous trees mature, their growth rate decelerates and the proportion of latewood 452 decreases, leading to an increase in ring porosity (Zhang et al. 1993). Cambial age also 453 influences the anatomical structure of the wood as a decrease in the proportion fibre to 454 the benefit of vessels (Rao et al. 1997), thus leading to lower density. Climatic conditions 455 also influence the earlywood to latewood ratio, although they are not influenced by the 456 same climatic variables (Tardif 1996). Earlywood is influenced by early spring temperature 457 and water stress, while latewood is influenced by June and July temperature, and 458 precipitation (Nolin et al. 2021; Tardif 1996). In both cases, extreme climatic conditions 459 affecting growth (i.e., drought, heat waves, floods, etc.; Nolin et al. 2021; Tardif and 460 Bergeron 1993; Tardif et al. 2021; Zhu et al. 2021) are likely to be detrimental for wood 461 quality by disrupting the balance between ring width and density. As climate change 462 induces more extreme climatic events and conditions (Lucash et al. 2018; McDowell et al. 463 2020; Seidl et al. 2017), we can therefore expect the properties of black ash wood 464 properties to change over time on Ndakina. Other stressors such as the EAB outbreak 465 (Costanza et al. 2017; Klooster et al. 2018; Palik et al. 2011), will likely exacerbate the issue 466 by causing high mortality rates.

## 467 4.3 On the benefits of knowledge dialogue

468 The results from this study demonstrate how combining traditional ecological 469 knowledge and wood science can contribute to adaptation and resilience to 470 environmental challenges for both human communities and ecosystems (Berkes 1999; 471 Kimmerer 2002; McGreavy et al. 2021, Reid et al. 2020). To ensure this research involved a 472 constructive knowledge dialogue and a respectful partnership, we first recognized the 473 potential negative effects and inequities that can result from such an intercultural project 474 (Asselin and Basile 2012; Smith 2021). Our approach must not be seen as an attempt to 475 validate W8banaki knowledge through the perspective of wood and forest sciences, but 476 rather as work conducted in close collaboration to create a dialogue around black ash that 477 respects the integrity of each knowledge system (Kimmerer 2002; Tengö et al. 2014). The 478 two knowledge types involved in this study fed each other in an iterative manner (Emery 479 et al. 2014; McGreavy et al. 2021; Tengö et al. 2014). We abide by the principle that such 480 partnership needs to be co-developed respectfully and adequately (Smith 2021).

481 Basketry is a very complex, non-static practice linked to dynamic knowledge 482 (Berkes 1999). The wood quality assessments used in our analysis depend on the 483 experiences and preferences of each pounder (Frey et al. 2019) and are thus expected to 484 vary among W8banaki knowledge carriers and among northeastern Indigenous peoples 485 who practice basketry across the black ash natural distribution range.

## 486 5. Conclusion

487 In this study, we associated black ash wood quality for basketry as assessed by 488 W8banaki knowledge carriers. This was done with the larger aim to identify relevant 489 research efforts and actions regarding black ash and basketry's future, and thus support 490 the W8banaki Nation in its adaptation process regarding the issues affecting black ash. 491 We highlighted the fact that wood quality for basketry was mostly related to ring width 492 and ring average density, with the best quality being found in rings of narrow to medium 493 width and high density. Such ring characteristics appear to provide the material with a 494 good balance between wood flexibility and resistance to rupture, which are essential to 495 the quality of pounding layers for basketry. In contrast, wide rings may be too stiff to be 496 bent, whereas narrow rings of low density may not be strong enough. Such results suggest 497 that further research on growth conditions could be used to support the implementation 498 of silvicultural treatments and land management strategies that favour wood of better 499 suitability for basketry by creating optimal conditions to promote the appropriate growth 500 rate. Such practices could participate in supporting basketry and ensuring the production 501 of high-quality black ash wood for future generations. Yet, as climate is changing and EAB

- 502 is continuing to spread through the species' range, more intercultural and collaborative
- 503 research are needed to ensure future basketry practices.

505 **Declaration of Competing Interest** 

506 The authors declare that they have no known competing financial interests or 507 personal relationships that could have appeared to influence the work reported in this 508 paper.

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#### 523 **Data availability**

524 Data generated or analysed during this study are available from the corresponding 525 author upon reasonable request

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### 527 **Community involvement statement**

528 This research project was conducted with a strong emphasis on community 529 involvement and engagement throughout all stated of the study. Prior to initiating any 530 research activities, the project was approved by the W8banaki research coordination

- 531 committee. The project has received the UNESCO Chair Cultural transmission among
- 532 Indigenous peoples as a dynamic for well-being and empowerment seal of approval.

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# 764 7. Figure captions

765 **Figure 1**. Location of the two sites where black ash logs were collected in 2020 766 (stars): Seigneurie de Lotbinière (1) and Domtar (2) on Ndakina, the W8abanaki ancestral 767 land (encircle area). Figure was created using QGIS version 3.22.16 and assembled from 768 the following data sources: Ndakina contour line (Ndakina Office 2023), map showing the 769 native range of black ash (Silvics of North America (Wright and Rauscher, 1990)). 770 Figure was built by the authors.

771 **Figure 2**. The process involves the preparation of black ash specimens and wood 772 splints as follows: A) Preparation of logs (2.0 to 2.5m in length) and pounding stage. B) 773 Preparation of wood bolt (30 cm) and wood samples for densitometric testing (radial pith-774 to-bark segment) and for the static bending test used to evaluate MOE and MOR (using 775 10-mm-wide and 160-mm-long specimens). Image : Sarah Desrosiers-Vaillancourt

776 **Figure 3**. Process preparation of black ash splints for W8banaki basketry from the 777 pounding stage to the storage of the material. A) Danny Gill and Luc Gauthier-Nolett 778 pounding a black ash log at Laval University for a basketry demonstration held in 2022. B) 779 The detachment of a pounding layer after log pounding. C) The long wood layers detached 780 from the log before they are process with the splinter tool. D) Black ash splints rolled up 781 and ready to be stored and woven. Photograph : Laurence Boudreault

782 **Figure 4.** Correlation matrix for the following variables: ring width (RW\_mm), ring 783 average density (RA\_Density), modulus of elasticity (MOE), and modulus of rupture (MOR).

784 **Figure 5**. Observed quality for each pounding layers determined by knowledge 785 carriers whether they were of high quality (green), medium quality (yellow) or low quality 786 (red) for basketry.

787 **Figure 6.** Probability of pounding layers to be classified as of high quality for 788 basketry (top left panel), medium quality for basketry (top right panel) and low quality for 789 basketry (bottom left panel) depending on ring width and ring average density. Probability 790 ranges from low (dark blue) to high (light blue).

Can. J. For. Res. Downloaded from classiencepub.com by  $142.231.7.12$  on  $07/17/24$ <br>This Just-IN manuscript is the accepted manuscript prior to copy editing and page composition. It may differ from the final official vers Can. J. For. Res. Downloaded from cdnsciencepub.com by 142.231.7.12 on 07/17/24<br>This Just-IN manuscript is the accepted manuscript prior to copy editing and page composition. It may differ from the final official version o 791 **Figure 7.** Three pounding layer exemplars with different ring widths that influence 792 wood quality for basketry: (A) narrow rings of high quality, (B) medium annual ring 793 associated of medium quality, (C) wide rings associated with low quality. Images were 794 taken at the transversal section with a Keyence microscope (Quebec, Canada). Scale bar = 795 1000 μm. Image : Laurence Boudreault

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# 797 **8.** Table captions

798 **Table 1.** P-value and confidence interval for our GLIMMIX model which consider 799 ring average density, ring width, MOE and MOR.

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**Table 1.** P-value and confidence interval for our GLIMMIX model which consider ring average density, ring width, MOE and MOR.



Caption : Figure 1. Location of the two sites where black ash logs were collected in 2020 (stars): Seigneurie de Lotbinière (1) and Domtar (2) on Ndakina, the W8abanaki ancestral land (encircle area). Figure was created using QGIS version 3.22.16 and assembled from the following data sources: Ndakina contour line (Ndakina Office 2023), map showing the native range of black ash (Silvics of North America (Wright and Rauscher, 1990)). Figure was built by the authors.

296x209mm (300 x 300 DPI)



Figure 2. The process involves the preparation of black ash specimens and wood splints as follows: A) Preparation of logs (2.0 to 2.5m in length) and pounding stage. B) Preparation of wood bolt (30 cm) and wood samples for densitometric testing (radial pith-to-bark segment) and for the static bending test used to evaluate MOE and MOR (using 10-mm-wide and 160-mm-long specimens). Image : Sarah Desrosiers-Vaillancourt

717x928mm (28 x 28 DPI)







241x183mm (72 x 72 DPI)



Figure 5. Observed quality for each pounding layers determined by knowledge carriers whether they were of high quality (green), medium quality (yellow) or low quality (red) for basketry.

212x180mm (72 x 72 DPI)



Figure 6. Probability of pounding layers to be classified as of high quality for basketry (top left panel), medium quality for basketry (top right panel) and low quality for basketry (bottom left panel) depending on ring width and ring average density. Probability ranges from low (dark blue) to high (light blue).

349x185mm (72 x 72 DPI)

